

**Know Your Client (KYC)
Application Form (For Individuals Only)**



Application Number:

Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory
are pertaining to CKYC and mandatory only if processing CKYC

Application Type*: New KYC Modification KYC

KYC Mode*: Please Tick ✓

Normal EKYC OTP EKYC Biometric Online KYC Offline EKYC Digilocker

1. IDENTITY DETAILS (PLEASE REFER GUIDELINES OVERLEAF)

PAN*		Lat/Long:
Name*(same as ID proof)		
Maiden Name(if any)		
Father/Spouse Name*		
Date of Birth*		
Gender*	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Transgender	
Marital Status*	<input type="checkbox"/> Single <input type="checkbox"/> Married	
Nationality*	<input type="checkbox"/> IN- Indian <input type="checkbox"/> Others _____	
Residential Status	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian <input type="checkbox"/> Foreign National <input type="checkbox"/> Person of Indian Origin + (Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)	

Proof of Identity (POI) submitted for PAN exempted cases (Please tick ✓)

<input type="checkbox"/> A – Aadhaar Card			
<input type="checkbox"/> B – Passport Number		(Expiry Date)	
<input type="checkbox"/> C – Voter ID Card			
<input type="checkbox"/> D –Driving License		(Expiry Date)	
<input type="checkbox"/> E –NREGA Job Card			
<input type="checkbox"/> F – NPR			
<input type="checkbox"/> Z –Others		(any document notified by Central Government)	
Identification Number			

2. ADDRESS DETAILS* (PLEASE REFER GUIDELINES OVERLEAF)


A. Correspondence/ Local Address*

Line 1*			
Line 2			
Line 3			
City/Town/Village/District*		State*	
Country*		PIN Code*	
Address Type*	<input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified		
			Applicant e- SIGN

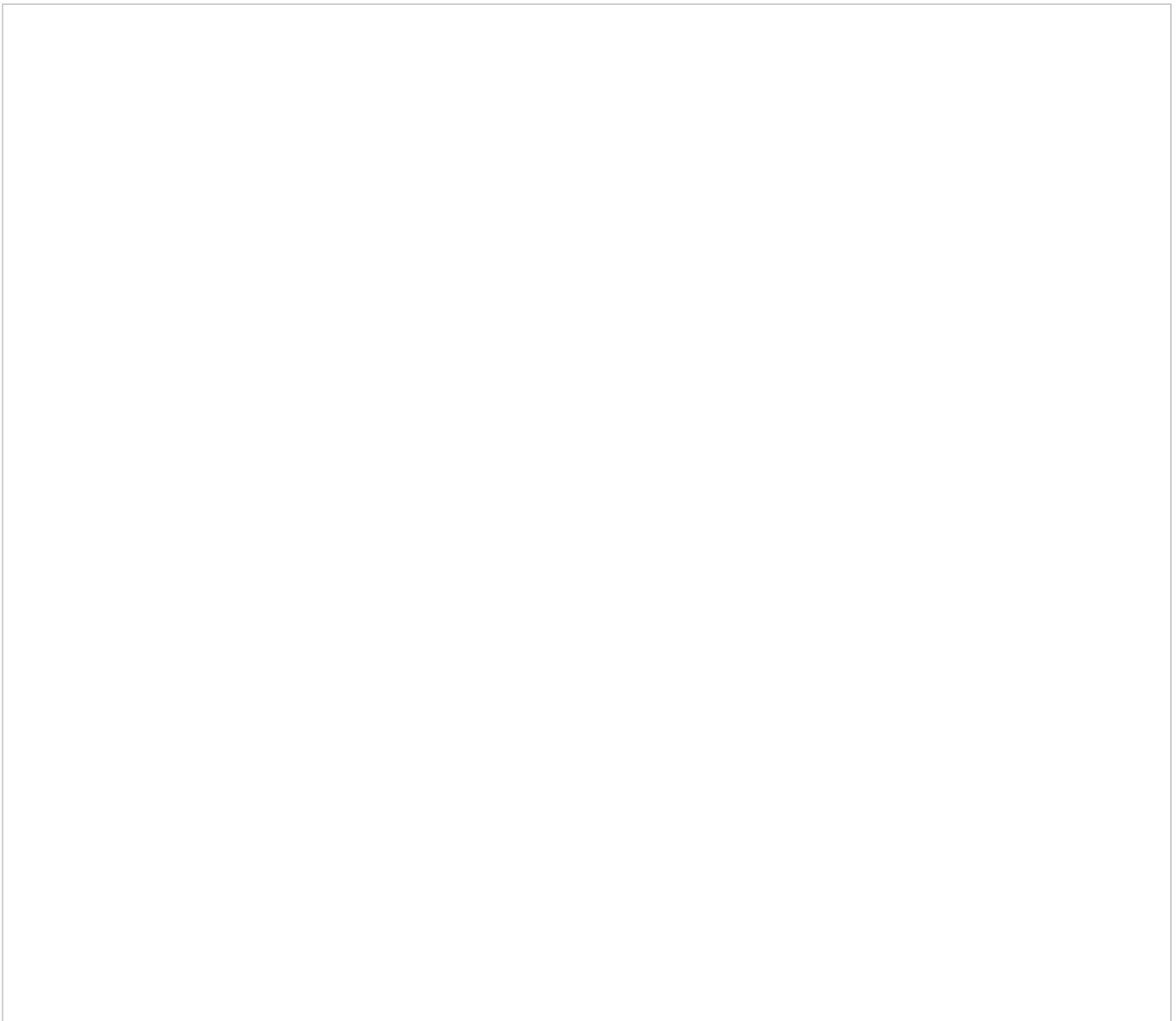
B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)			
Line 1*			
Line 2			
Line 3			
City/Town/Village/District*		State*	
Country*		PIN Code*	
Address Type*	<input type="checkbox"/> Residential/Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified		
Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)			
<input type="checkbox"/> A – Aadhaar Card			
<input type="checkbox"/> B – Passport Number	-	(Expiry Date)	
<input type="checkbox"/> C – Voter ID Card	-		
<input type="checkbox"/> D –Driving License	-	(Expiry Date)	
<input type="checkbox"/> E –NREGA Job Card			
<input type="checkbox"/> F – NPR			
<input type="checkbox"/> Z –Others		(any document notified by Central Government)	
Identification Number			

3. CONTACT DETAILS (IN CAPITAL)			
Email ID*			
Mobile No*			
Tel(Off)		Tel(Res)	

4. APPLICANT DECLARATION		
<p>I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under -take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.</p> <p>I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.</p> <p>Date: Place:</p>	Applicant e- SIGN	Applicant Wet Signature

5. FOR OFFICE USE ONLY	
In - Person Verification (IPV) carried out by*	Intermediary Details*
IPV Date: Emp. Name Emp. Code Emp. Designation	<input type="checkbox"/> Self certified document copies received (OVD) <input type="checkbox"/> True Copies of documents received (Attested) AMC / Intermediary Name :TORUS FINANCIAL MARKETS PVT LTD.
Employee Signature and Stamp	 Institution Name and Stamp





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I authorise Torus Financial Markets Pvt Ltd. to use the above signature/facsimile on the application form for opening Trading & Demat account (Online). I have verified all details / declaration / confirmation therein.

I/We hereby consent to share with the CDSL Ventures Limited (CVL), my/our KYC documents along with the complete set of Demat and broking account opening forms / information submitted by me/us to the intermediary. I/We understand that once the abovementioned documents/information are uploaded onto the system of CVL, the same can be downloaded by any other intermediary/KYC Registration Agency with whom we are entering into a business relationship.

Name:	
Pan number:	
Date:	

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BOOKLET 1 (SECURITIES & COMMODITIES)			
S.NO.	Name of the Document	Brief Significance of the Document	Pg. No.
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2	Demat Debit and Pledge Instructions (DDPI)	Execution of Demat Debit and Pledge Instruction (DDPI) for transfer of securities towards deliveries / settlement obligations and pledging/ replugging of securities	13
3	Nomination & DIS	Nomination facility and Issuance of DIS	14-15
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5	Authorisation for Running Account	Authorisation letter to Torus Financial Markets Pvt Ltd., facilitates maintaining of fund with the member for every pay-in of funds obligation / margin obligation	18
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SECURITIES BOOKLET 2			
S.NO.	Name of the Document	Brief Significance of the Document	Pg. No.
Mandatory Documents As Prescribed By SEBI & Exchanges			
1	Rights and Obligations (Trading)	Document stating the Rights & Obligations of stock broker/trading member, authorized person and client for trading on exchanges (including additional rights & obligations in case of internet wireless technology based trading)	1-6
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COMMODITIES BOOKLET 2			
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Voluntary Documents As Provided By The Commodity Broker			
4	General Terms and Condition	General terms and conditions governing commodities trading and broking services of Torus Financial Markets Pvt Ltd.	11-21

Note: Booklet 1 to be filled by client and returned to TFM. **Booklet 2** to be read, understood by the client for future reference

Torus Financial Markets Pvt Ltd:	
SEBI Registration No: INZ000299936, Research Analyst - INH000008695, Stock Broker: BSE, NSE, Member ID: NSE 90245, BSE 6761. Clearing NSE EQ CLG Code 06637, NSEFO & BSEFO: Globe Capital Market Limited Clg. Member Code: M50302 Clearing corporation: NSE Clearing Ltd (NCL)	
Mutual Funds Distribution: AMFI ARN (Corporate):- 242261	CDSL SEBI Reg. No. IN-DP-664-2022

Registered and Correspondence Address: 2nd floor, ICC Chambers-II saki vihar Rode Near MTNL office powai, mumbai -400072

Tel.: (91) 022 68959030 **Fax:** (91) 022 68959030 **Website:** <https://www.torusfinancialmarkets.com/> **CIN:** U65990MH2021PTC356714

Compliance Officer Name: Ms Bansi Sanghvi **Phone No.** (91) (22) 68959000 **email id:** tfm.compliance@torusgroup.in

For any grievance/dispute: Please contact Torus Financial Markets Private Limited at the above address or email id - customercare@torusgroup.in/grievance@torusgroup.in/dpgrievance@torusgroup.in and Phoneno. 022-68959030.

In case not satisfied with the response, please contact the concerned exchange(s) at: NSE: ignse@nse.co.in BSE: is@bseindia.com Phone no. NSE: 022-26598190 / 1800 22 0051 BSE: 022-22721233/34

Important Instructions	
1	All details to be filled in Capital letters in Black/Blue Ink Only.
2	Holder's Names in all the required fields should be filled as per the supporting documents submitted.
3	Email ID & Mobile number are very important as account related passwords and transaction details will be communicated on that.
4	Provide correct Bank IFSC & MICR code for hassle free transactions in future.
5	Ensure Signatures are done on all MANDATORY forms & VOLUNTARY documents(Opted by Client)
6	All KYC documents must be self attested and corrections should be counter signed on the left hand side of the page.
7	Details of the Names, Address, Telephone Number(s), etc., of the Magistrate / Notary Public / Special Executive Magistrate / Special Executive Officer are to be provided in case of attestation done by them.
8	In case of applications containing a Power of Attorney, the relevant Power of Attorney or the self certified copy thereof, must belodged along with the application.
9	All correspondence / queries shall be addressed to the first / sole applicant.
10	Strike off option whichever is not applicable in the AOF
11	Fields marked with "*" are mandatory fields.
12	Please fill the form in English and in BLOCK letters.
13	Please fill the date in DD-MM-YYYY format.
14	Please read section wise detailed guidelines / instruction at the end
15	List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
16	List of two character ISO 3166 country codes is availble on the website.
17	KYC number of applicant is mandatory for update application.
18	For particular section udpate, pleasetick (✓)in the box available before the section and strike off the sections not required to be updated.
19	Annexure A1 for related person, refer website

A. Clarification / Guidelines on filling ' Personal Details' Section

1. Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. One the following is mandatory : Mother's name, Spouse's name, Father's name.

B. Clarification / Guidelines on Filling 'Current Address Details' Section

1. In case of deemed POA such as utility bill, the document need not be uploaded on C-KYCR
2. PoA to be submitted only if the submitted Pol does not have current address as per Pol is invalid or not in force.
3. state / U,T code and Pin / Post code will not be mandatory for Overseas addresses.
4. In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected
5. In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected
6. List of documents for 'Deemed Proof of Address:-

Document Code_____ Description_____

-
1. Utility bill which is not more than two months old of any service provider (electricity, telephone,post-paid mobile phone,piped gas ,water bill).
 2. Property or Municipal tax receipt.
 3. Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
 4. Letter of allotment of accommodation from employer issued by State Government Departments or Public Sector Undertakings, if they contain the address.
 5. Letter of allotment of accommodation from employer issued by State Government or Central Governments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation.
 6. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
 7. "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation & Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules,2016.
 - 8 'Digital KYC process' has to be carried out as stipulated in the MPL Rules, 2005.

C. Clarification / Guidelines on filling 'Contact Details' section

1. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2. Do not add 'D' in the beginning of Mobile number.

D. Clarification / Guidelines on filling 'Related Person details' section

1. Provide KYC number of related person, if available

E. Clarification on Minor

1. Guardian details are optional for minors above 10 years of age for opening of bank account only
2. However, in case guardian details are available for minor above 10 years of age, the same (or C-KYCR number of guardian) is to be uploaded.

CHECK LIST FOR FILLING KYC FORM (LIST OF DOCUMENTS TO BE SUBMITTED) - FOR INDIVIDUAL

	Acceptable Documents (Self attested copies required)	CKYC Code	Please Tick ✓
PAN card	of Account Holder		
Photograph	One Colored Front Face Photograph on photographic Paper (Passport Size)		
Proof of Identity (Any One)	1. Valid Passport (Name, Address & Photo page)	A	
	2. Voter ID (front and back)	B	
	3. PAN Card	C	
	4. Valid Driving License (Name, Address & Photo page)	D	
	5. Unique identification number (UID) (Aadhaar) [Aadhar consent is mandatory, please refer our website for consent format]	E	
	6. Other (Pls. Specify) _____	Z	
Proof of Address (Any One)	1. Unique identification number (UID) (Aadhaar) [Aadhar consent is mandatory, please refer our website for consent format]	01	
	2. Valid Passport (Name, Address & Photo page)	02	
	3. Valid Driving License (Name, Address & Photo page)	03	
	4. Voter ID (front and back)	04	
	5. Electricity Bill (not more than 2 months old)	S01	
	6. Resident Landline Tel. Bill (not more than 2 months old)	S02	
	7. Registered Lease/Leave & License Agreement	S05	
	8. Other* (Pls. Specify) _____	99	
Bank Proof Provide for all the Bank Account Mentioned (Any One)	Specifying name of the constituent, MICR Code or/and IFSC Code of the bank		
	1. Bank Statement / Bank Passbook with cheque leaf (not more than 2 months old, seal & signature of Bank Official)		
	2. Banker's Certificate on letter head of the Bank (ORIGINAL)		
	3. Cancelled Personalized Cheque leaf		
Proof of Income (Any One)	1. Copy of ITR Acknowledgement		
	2. Copy of Annual Accounts		
	3. In case of salary income - Salary Slip, Copy of Form 16		
	4. Net-worth certificate		
	5. Copy of Demat Account Holding Statement		
	6. Bank Account Statement for last 6 months reflecting income		
GSTIN	Kindly provide the GST number in place provided in additional KYC for Demat and Trading account along with the copy of GST registration certificate.		

IMPORTANT INSTRUCTIONS

General

- 1 Trading Account will be in the name of First/Sole holder of Demat and/or Bank A/c only
- 2 Thumb impression and signatures other than English, Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a special Executive Magistrate
- 3 Witness should be a person other than co-holder / joint holder
- 4 The applicant should authenticate any corrections/ alteration in the account opening form
- 5 All the fields in the Form must be filled up otherwise the Form may be rejected
- 6 The Applicant has to sign wherever sign mark F,S,T is mentioned {F-First Holder, S-Second Holder, T-Third Holder}
- 7 In case of second or Third Holder applying for demat account, download the Know Your Client (KYC) form from our website and submit along with AOF, with proofs.
- 8 Signature should be preferably in black ink. **Incase of any correction/cancellation, please provide counter signature on the left side of the page.**

Bank Details

- 1 Cheque/DD towards Registration fees & other charges should be drawn in favour of "Torus Financial Markets Pvt Ltd."
- 2 It is mandatory to provide complete All Bank Accounts' details. In absence of complete details, form may be rejected

Demat Account

- 1 For Demat Account, Joint Holder and Nominee cannot be the same person
- 2 Photographs to be signed across and pasted (Not Stapled) for all the holders in Demat as well as the nominee and his/her guardian
- 3 In case of joint applicants for Demat Account, copy of PAN, Proof of Address and Proof of Identity for all Applicants is mandatory
- 4 Demat Account cannot be linked with Trading Account where minor is the joint holder in Demat account

To,
 Torus Financial Markets Pvt Ltd (TFM)
 2nd Floor, ICC Chambers-II,
 Saki vihar road, Near MTNL office,
 Powai, Mumbai- 400072

Important Instructions - Leave this Client ID blank if you are opening DP Account along with Trading Account
 - The First Holder in the DP Account must be the Trading Account Holder.

Depository Participant Name: Torus Financial Markets Pvt Ltd

Beneficiary Name:

Depository Name: CDSL

DP ID:

Client ID:

Type of Account (Please tick ✓ whichever is applicable)

Status

Sub - Status

- | | | | |
|---|---|--|---|
| <input type="checkbox"/> Individual | <input type="checkbox"/> Individual Resident | <input type="checkbox"/> Individual Director | <input type="checkbox"/> Individual Director's Relative |
| | <input type="checkbox"/> Individual Promoter | <input type="checkbox"/> Individual Minor | <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) |
| | <input type="checkbox"/> Individual HUF / AOP | <input type="checkbox"/> Others(specify) | |
| <input type="checkbox"/> NRI | <input type="checkbox"/> NRI Repatriable | <input type="checkbox"/> NRI Non-Repatriable | <input type="checkbox"/> NRI Repatriable Promoter |
| | <input type="checkbox"/> NRI Non-Repatriable Promoter | <input type="checkbox"/> NRI Depository Receipts | <input type="checkbox"/> Others (specify) |
| <input type="checkbox"/> Foreign National | <input type="checkbox"/> Foreign National | <input type="checkbox"/> Foreign Depository Receipts | <input type="checkbox"/> Others (specify) |

(To be filled by the applicant in **BLOCK LETTERS** in English with a black ballpoint pen) I / We request you to open a Demat Account in my / our name as per the following details:

Name *			
*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.			
Holders Details			
Sole/First Holder's Name		PAN	
UID Second Holder's Name		PAN	
UID Third Holder's Name		PAN	

Other Details

- | | |
|--|--|
| <input type="checkbox"/> Sole / First Holder | Gross Annual Income: <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> > 25 Lacs
Net-worth in ₹ (*Net worth should not be older than 1 year) as on (date) - 19/11/2023
Occupation: <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Government service <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify) _____
Please tick ✓, If Applicable: <input type="checkbox"/> Politically exposed person <input type="checkbox"/> Related to a Politically exposed person |
| <input type="checkbox"/> Second Holder | Gross Annual Income: <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> > 25 Lacs
Net-worth in ₹ (*Net worth should not be older than 1 year) as on (date) - 19/11/2023
Occupation: <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Government service <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify) _____
Please tick ✓, If Applicable: <input type="checkbox"/> Politically exposed person <input type="checkbox"/> Related to a Politically exposed person |
| <input type="checkbox"/> Third Holder | Gross Annual Income: <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> > 25 Lacs
Net-worth in ₹ (*Net worth should not be older than 1 year) as on (date) - 19/11/2023
Occupation: <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Government service <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please specify) _____
Please tick ✓, If Applicable: <input type="checkbox"/> Politically exposed person <input type="checkbox"/> Related to a Politically exposed person |

Details of Guardian (in case the Demat Account holder is minor)

Guardian Name			
Guardian PAN		Relationship with the applicant	

TRADING PREFERENCES			
Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.			
Exchanges	NSE, BSE		MCX, BSE & NSE
All Segments	Cash / Mutual Fund	F&O	Commodity Derivatives
If you do not wish to trade in any of segments / Mutual Fund, please mention here			

F & O Income Proof	
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Bank Account(S) Details

Bank Name	Branch Name /Add/Code (city, state, country, pincode)	Bank A/c No.	A/c Type (S/C/O)+	MICR Code (9 digit)	IFSC Code (11 character)

+ S-Saving C-Current (will not be able to do online fund transfers) O- Others-In case of NRI/NRE/NRO \$ For Demat A/c & Default for Pay out (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) (ii) Photocopy of the Bank Statement having name and address of the BO (iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank. In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

Depository Account(S) Details, If Available

Depository Participant Name	Depository Name (NSDL / CDSL)	DP ID	Beneficiary ID (BO ID)

SMS Alert Facility Refer to Annexure for Terms & Conditions - cum - registration / modification form for receiving SMS Alerts from CDSL	MOBILE NO. (as specified in KYC form).
Easi	To register for easi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

Additional Details (If Not Marked, The Default Option Would Be)

I/We wish to receive Contract Note and other related reports/statements/documents in electronic mode YES <input type="checkbox"/> NO <input type="checkbox"/>
E-mail/SMS alerts from exchanges/depositories will be sent on Mobile no & Email id mentioned in KYC form:
Mobile No. belongs to: <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Parents _____ Owner PAN
Email. belongs to: <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent Parent _____ Owner PAN
I/We wish to update my GSTIN number <input type="checkbox"/> YES NO <input type="checkbox"/>
If yes, please provide your 15 digit GSTIN No. _____

CLIENT CLASSIFICATION

Please mention commodity/ name and select the classification. If no option is selected, Retail - Individual will be considered as default.

Name of the Commodity	Physical Commodity Trader / Value Chain Participant	Processor / Miller	Retail - Individual	Farmer(Only for Agri-commodity)
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

MANDATORY

I/We wish to avail of the facility of internet trading/ wireless technology (please specify) YES NO

Number of years of Investment/Trading Experience Years: No Experience

I / We instruct the DP to receive each and every credit in my / our account [Automatic Credit]

(If not marked, the default option would be 'Yes') Yes No

I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be Yes No

Account Statement Requirement As per SEBI Regulation Daily Weekly Fortnightly Monthly

I / We request you to send Electronic Transaction-cum-Holding Statement at the email Yes No

ID : *as specified in KYC form*

I / We would like to share the email ID with the RTA Yes No

I / We would like to receive the Annual Report Physical/ Electronic/ Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)

I / We wish to receive dividend / interest directly in to my bank account as given below through ECS? Yes No

(If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]

I/We authorize and agree to receive call or SMS or email or WhatsApp from Torus Financial Markets Pvt Ltd (TFM) & its associates with reference to products and offerings. This authorization shall override my/our registration for DND/DNC/NDNC, if any. Yes No

Privacy policy: <https://www.torusfinancialmarkets.com/privacy-policy/> | Terms of use: <https://www.torusfinancialmarkets.com/terms-and-conditions/>

Dealings Through Authorised Person And Other Stock Brokers

If client is dealing through the authorised person, provide the following details:

Authorised Person Name: _____

SEBI Registration number NSE INS 23: _____ BSE INS01: _____

Registered Office: _____ City/Town/Village: _____

Address: _____ State: _____

Pin Code: _____ Country: _____

Tel No. Fax: _____ Mobile No.: +91- _____

Email id: _____ Website: _____

Whether dealing with any other stock broker/authorised person (if case dealing with multiple stock brokers/authorised person, provide details of all)	Name of stock broker: _____
	Name of Authorised Person, if any: _____
	Client Code: _____ Exchange: _____
	Details of disputes/dues pending from/to such stock broker/authorised person: _____

Past Actions

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities/commodities during the last 3 years	<input type="checkbox"/> No
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Introducer Details (Optional)

Name of Introducer: _____

Status of Introducer Sub-broker Remiser Authorised Person Existing Client Employee Others (please specify)

Authorised Person / Client Code / Employee Code (SAP ID): _____

Address: _____ City/Town/Village: _____

State: _____ Pin code: _____

Tel No. Fax: _____ Signature of Introducer

Tel No.: _____

Any other information: _____

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

THIS Demat Debit and Pledge Instruction (DDPI) is made on the date, and place as mentioned in the Schedule hereunder by the persons mentioned in the Schedule under title "Demat Account Holder/s" (herein- after referred to as "Demat Account Holder/s/Account Holder/s") IN FAVOUR OF Torus Financial Markets Pvt Ltd (TFM), a Company incorporated under the Companies Act, 1956 having its Registered Office at 2nd Floor, ICC Chambers-II, Saki vihar road, Near MTNL office, Powai, Mumbai- 400072 and having an office at Torus Financial Markets Pvt Ltd, No.110, Pandy - Cuddalore main Road, Thavalakuppam, Puducherry - 605 007 (hereinafter referred to as "Torus Financial Markets Pvt Ltd" which expression shall unless repugnant to the context thereof shall mean and include its successor) acting through its directors, officers or such other persons as may be authorised from time to time in this regard.

Whereas: The persons mentioned in the Schedule under title "Client Details" (hereinafter referred to as "the Client") has opened/is desirous of opening a Trading and Demat Account with Torus Financial Markets Pvt Ltd (TFM). The Demat Account Holder/s hold demat account/s with Torus Financial Markets Pvt Ltd(TFM), a Depository Participant details where off are mentioned in the Schedule hereunder under title "Demat Account Details" (hereinafter referred to as "the Demat Account"), wherein the Clients are the Beneficial Owners ("BO"). The Client is also the first holder/sole holder of the Demat Account; (the Account Holders). This DDPI is limited to access the Beneficial Owner (BO) account of the Client to meet settlement obligations of the trade executed by the Client. With respect to the aforesaid activity, the Client is now desirous of executing this DDPI in the favour of Torus Financial Markets Pvt Ltd(TFM).

Now it is witnessth as follows: I/We, the Account Holder/s hereby explicitly agree to authorize Torus Financial Markets Pvt Ltd(TFM) to access my/our account/s for the limited purpose of meeting pay in obligations for settlement of trades executed and availed by me/us and to do the following:

Description	Signature
<input checked="" type="checkbox"/> Transfer of securities held in my/our account/s towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchange through Torus Financial Markets Pvt Ltd(TFM)	
<input checked="" type="checkbox"/> Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements in connection with the trades executed by me/us on the Stock Exchange.	
<input checked="" type="checkbox"/> Mutual Fund transactions being executed on Stock Exchange order entry platforms	
<input checked="" type="checkbox"/> Tendering shares in open offers through Stock Exchange platforms This DDPI shall be governed by the laws of India and shall be subject to the Jurisdiction of the Courts at Mumbai.	

Torus Financial Markets Pvt Ltd - Demat Account details NSE - CDSL:120948000000069 : CMBP ID - IN545277	Client Unpaid securities pledge account - CDSL 1209480000050467
TM/CM Client Margin Pledge Account (CMPA): CDSL 1209480000000113	Client securities Margin Funding Account - CDSL 1209480000000191
Torus Financial Markets Pvt Ltd Securities - Bank Account details	Name of the Bank - HDFC BANK, Fort, Mumbai. Bank Account Number - 57500000835623
Holder Name 1st	

I authorise Torus Financial Markets Pvt Ltd to use below signature and facsimile on the application form for opening Trading & Demat account (Online). I have verified all details / declaration / confirmation therein.

Name	
Pan number:	
Place:	
Date:	

NOMINATION FORM - ANNEXURE A

(To be filled in by Individual applying singly or jointly)

DP ID:	BO ID:	Client Code:	Date: 26/02/2024
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To,
 Torus Financial Markets Pvt Ltd (TFM)
 2nd Floor, ICC Chambers-II,
 Saki vihar road, Near MTNL office,
 Powai, Mumbai- 400072

Dear Sir/Madam,

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Name of the nominee(s) (Mr./Ms.)			
Share of each Nominee Equally [If not equally, please specify percentage]	Any odd lot after division shall be transferred to the first nominee mentioned in the form.		
*Relation with the BO:			
Address of nominee(s) City / Place: State & Country:			
Email ID:			
Telephone No./Mobile No.:			
PAN No:			
Nominee Identification details [Please tick ✓ any one of following and provide details of same] Photograph & Signature PAN Aadhaar Saving Bank account no. Proof of Identity Demat Account ID			
Date of Birth (mandatory if Nominee is a minor):			
Name of the Guardian of Nominee (if the nominee is minor):			
Address of Guardian of Nominee			
Telephone No./Mobile No.:			
Email ID:			
PAN No:			
Guardian Identification details [Please tick ✓ any one of following and provide details of same] Photograph & Signature PAN Aadhaar Saving Bank account no. Proof of Identity Demat Account ID			
*Relationship of the Guardian with the Nominee:			

Details of the Witness	First witness
Names of witness	
Address of witness	
Signature of witness	W1

Note: * Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

* Marked is Mandatory field

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature	F11	S2	T2

Note: One witness shall attest signature/ Thumb impression. (To be filled by DP)

Nomination Form accepted and registered wide Registration No. _____ dated _____

Place:

Date:

Photocopy of this Nomination form is given to the client as a acknowledgement.

For Depository Participant (Authorised Signatory)

NOMINATION FORM - ANNEXURE B

Declaration Form for opting out of nomination

MANDATORY

DP ID:	BO ID:	Client Code:	Date:
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To,
 Torus Financial Markets Pvt Ltd (TFM)
 2nd Floor, ICC Chambers-II,
 Saki vihar road, Near MTNL office,
 Powai, Mumbai- 400072

Dear Sir/Madam,
 I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in nonappointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature	F11	S2	T2

OPTION FORM FOR ISSUE OF DIS BOOKLET

Dear Sir / Madam,
 I / We hereby state that: [Select one of the options given below]

OPTION 1:
 I / We REQUIRE YOU TO ISSUE Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (POA) in favour of Torus Financial Markets Pvt Ltd (TFM) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through you.

OPTION 2:
 I / We DO NOT REQUIRE the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a DDPI in favour of Torus Financial Markets Pvt Ltd (TFM) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through you. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

	First / Sole Holder	Second Holder	Third Holder
Name			
Signature	F13	S4	T4

IMPORTANT Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

2. Tick If Applicable Residence For Tax Purpose In Jurisdiction(S) Outside India (Please refer instruction B at the end)

Additional Details Required* (Mandatory only if section 2 is ticked)	
ISO 3166 Country Code of Jurisdiction of Residence*	
Tax Identification Number or equivalent (If issued by jurisdiction)*	
Place / City of Birth*	ISO 3166 Country Code of Birth*

Sr	Fee Head	DP Charges	
		Category	Charges
1	Annual Maintenance Charges (AMC) (levied on monthly basis) Basic services Demat Account BSDA (Only for Individual having one demat account): A. If Holding value at any date is not greater than Rs. 50,000/- B. If Holding value at any date between Rs. 50,001/- to Rs. 200,000/ C. If Holding value in Debt segment at any date is not greater than Rs. 1,00,000/- D. If Holding value in Debt segment at any date between Rs. 1,00,001/- to Rs. 200,000/-	<input type="checkbox"/> BSDA	A. Nil B. ₹ 100/- p.a C. Nil D. ₹ 100/- p.a
	Regular Demat Account (Non BSDA): For Individual /HUFs /Trust For NRIs / Foreign Nationals For Corporate /Other Non Individuals	<input type="checkbox"/> Non BSDA	₹ 400/- p.a. ₹ 1000/- p.a.
	If not marked, the default option would be non BSDA Account (for individuals only)		
	Lifetime Free AMC* (New) For Individual	<input checked="" type="checkbox"/> Life time AMC	Nil
2	Transaction Charges:SELL (Market & Off Market)		
	For instructions given in physical form		₹.11/- per ISIN
	For instructions received through Internet/online trading through Torus Financial Markets Pvt Ltd.		₹.11/- per ISIN
	Extra charges for processing of instructions submitted on the execution date (accepted at Client's risk, only for physical instructions)		₹.11/- per ISIN
3	Dematerialisation: For each request form: ₹ 30/- Extra for each certificate: ₹ 5/		
	Rematerialisation: A fee of ₹ 40/- for 100 securities or part thereof or a flat fee of ₹ 25/- per certificate whichever is higher in addition to Registered post charges, subject to minimum ₹ 25/		
4	Closure of Account, Failed instruction Charges, Custody Charges, Account Opening, Request for Modification of details like Bank Account/Client Address.		Nil
5	Pledge Set up/Removal/Confirmation/Innovation		₹21
	Margin Pledge/Unpledge:₹21/- per ISIN	Margin Repledge/Re-Unpledge:₹21/- per ISIN	
	Invocation of Margin Pledge/Repledge:₹21/- per ISIN	Client securities under Margin Funding' a/c:₹21/- per ISIN	
6	Additional Account statements: Non BSDA For Individuals/HUFs/Corporate For NRIs / Foreign Nationals (at Indian address) For NRIs / Foreign Nationals (at foreign address) Physical Statement (for BSDA client-Two statements will be provided free of cost in billing cycle)		₹ 40/- per statement ₹ 100/- per statement ₹ 1000/- per statement ₹ 25/- per statement
7	Re-issue of DIS booklet		₹ 50/

- There will be a charge of ₹ 100/- for dishonour of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable to discontinuation if Torus Financial Markets Pvt Ltd (TFM). is unable to recover charges from the customer, for any reason whatsoever. There will be a charge of ₹ 250/- for resumption of services in such cases. Torus Financial Markets Pvt Ltd. will resume services after a minimum of 3 working days from the date of receipt. Any service, which is not mentioned above, will be charged separately as per the rates applicable from time to time.
- Torus Financial Markets Pvt Ltd. reserves the right to revise the tariff structure from time to time with a notice of 30 days. This notice may be given by ordinary post or by an advertisement in a National Daily or by email to customers. We shall charge the Depository AMC only if there is any holding in the account on the last day of the month OR if there is any transaction in that particular month. The AMC will be charged at the beginning of every month for previous month. We understand that in case the demat account is requested to be closed during the month, any charges due till that date of request, the same will be charged to me/us. GST and other government / statutory levies will be charged extra.
- Holding statement, Transaction statement, changes request confirmation and Bills may be sent to the email ID of the client, registered in our system and by posting on the website of www.torusfinancialmarkets.com. (a) In case client(s) give(s) the written request for physical copy of the transaction statements, the same shall be provided at the address of the client(s) registered in our systems. (b) The Client(s) will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account. (c) The Client(s) is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised. (d) In case transaction statements are sent by email, the Client(s) shall immediately inform the DP about change in email address, if any. (e) DPs and Client(s) shall have the right to terminate such service provided a written notice is given atleast 10 days in advance to the other party
- For BSDA (Circular No. CIR/MRD/DP/22/2012 dated August 27, 2012): a) The individual shall have only one BSDA in his/her name across all depositories (CDSL). b) Value of securities held in BSDA shall not exceed ₹ 2,00,000/- (Rupees Two Lakhs) at any point of time. c) If the value of holding in such BSDA exceeds the prescribed criteria at any date, the DPs may levy charges as applicable to regular accounts (non BSDA) from that date onwards. d) All BOs opting for the facility of BSDA, shall register their mobile number for availing the SMS alert facility for debit transactions. e) In case the individual already has BSDA or open a new BSDA on a later date, then status of this demat account as BSDA will cease to exist. f) Refer to BSDA Terms and Conditions for more details. g) Two statements will be provided free of cost in billing cycle. Additional Physical statement will be charged Rs. 25/- per statement, whereas electronic statement will be provided free of charge.

	First / Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name		S5	T5
Signature	F14	S5	T5

IMPORTANT: Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

Sr	Application Processing Charges: Free												
1	Brokerage Scheme Name (Opted): Flat_11												
	Capital Market Segment (NSE & BSE)*	Brokerage % for Delivery Trades	Brokerage % for Intraday Trades										
	First leg >	₹ 11/- per executed order	₹ 11/- per executed order										
	Second leg same day	Not Applicable											
	Minimum(Paisa per share)	10 paisa (upto ₹10) or ₹11 whichever is lower	10 paisa (upto ₹10) or ₹11 whichever is lower										
	Derivatives Segment (NSE & BSE)*	Brokerage % for Trades in Future	Brokerage(₹ per lot)for Trades in Option										
	First Leg >	₹ 11/- per executed order	₹ 11/- per executed order										
	Second leg same day												
	Second leg other day												
	Currency Segment (NSE & BSE) <input type="checkbox"/> CURR 3000 or <input type="checkbox"/> _____ Future / Option ₹ 11/- per executed order _____	Commodity segment (MCX/NCDEX) <input type="checkbox"/> COMM 3000 or <input type="checkbox"/> _____ Future [CommodityFuture]Option [CommodityOption]Delivery: _____											

2	<p>Delayed Payment Interest (DPI):</p> <p>The Delayed Payment Interest will be levied at the rate of 18 % p.a (plus taxex, if any applicable) for all the debits, from payin/payout date, compounded monthly. Delayed Payment Interest will be charged on the debits in client ledger.</p> <p>For the purpose of debit, it will include:-</p> <table border="0"> <tr> <td>1. Debit against cash/commodity market transaction with or without NRML</td> <td>2. Debit against Margin short-fall,</td> </tr> <tr> <td>3. Debit against MTM on F&O transaction/Commodity Future Transaction.</td> <td>4. Debit on account of DP AMC Fees,</td> </tr> <tr> <td>5. Debit on account of Brokerage due(including statutory charges)</td> <td>6. Debit on account of "Delayed Payment Interest".</td> </tr> </table> <p>Any Non-cash collateral balance in your account will not be adjusted against the cash margin requirement in F & O segment for the purpose of Debit Calculation. Marking of pledge is purely for Exposure & Risk management Purpose only.</p> <p>Guideline for DPI:-</p> <ol style="list-style-type: none"> The Delayed Payment interest will be accrued(at applicable rates)everyday(including holiday)at EOD on the debit balance in client ledger. On first week of every month, a debit entry will be passed in client ledger for the total Delayed Payment Interest accrued for the previous month. Once the DPI is posted in client ledger & because of that client ledger is in debit, DPI will be charged on that debit as well. Accrued Delayed Payment Interest during the month will be deducted(on daily basis)from Exposure Limits allotted to client for trading purpose & from the funds available for withdrawals. 			1. Debit against cash/commodity market transaction with or without NRML	2. Debit against Margin short-fall,	3. Debit against MTM on F&O transaction/Commodity Future Transaction.	4. Debit on account of DP AMC Fees,	5. Debit on account of Brokerage due(including statutory charges)	6. Debit on account of "Delayed Payment Interest".				
1. Debit against cash/commodity market transaction with or without NRML	2. Debit against Margin short-fall,												
3. Debit against MTM on F&O transaction/Commodity Future Transaction.	4. Debit on account of DP AMC Fees,												
5. Debit on account of Brokerage due(including statutory charges)	6. Debit on account of "Delayed Payment Interest".												
3	<p>Other Charges:</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>Charges(₹)</th> </tr> </thead> <tbody> <tr> <td>Order placed by the client by call & Trade desk(call centre)</td> <td>100 per call</td> </tr> <tr> <td>Enquiry Charges(First five enquiries are free every month)</td> <td>Free</td> </tr> <tr> <td>Physical copy of duplicate contract notes</td> <td>500 per contract note</td> </tr> <tr> <td>Physical copy of duplicate Ledger statement</td> <td>500 per statement</td> </tr> </tbody> </table>			Particulars	Charges(₹)	Order placed by the client by call & Trade desk(call centre)	100 per call	Enquiry Charges(First five enquiries are free every month)	Free	Physical copy of duplicate contract notes	500 per contract note	Physical copy of duplicate Ledger statement	500 per statement
Particulars	Charges(₹)												
Order placed by the client by call & Trade desk(call centre)	100 per call												
Enquiry Charges(First five enquiries are free every month)	Free												
Physical copy of duplicate contract notes	500 per contract note												
Physical copy of duplicate Ledger statement	500 per statement												
4	No transaction charges will be levied for the following mode of funds transfer till further notification: NEFT/RTGS/UPI												

Client Name:
Date:

F15

IMPORTANT: Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

Date:

To,
 Torus Financial Markets Pvt Ltd (TFM)
 2nd floor, ICC Chambers-II,
 Saki Vihar Road, Near MTNL office,
 Powai, Mumbai – 400072

Dear Sir,

1. With reference to my/our trading account opened with you, I/we request you to maintain a running account for funds on my/our behalf without settling the account on settlement of each transaction. As required by SEBI circular my/ our funds at EOD shall be upstream to CC/CM on daily basis. Further, any request made for release of funds shall be proceed within same day if request is made by 2 P.M, and on the next trading day if request is received after 2 P.M.
2. I/we understand and agree that no interest will be payable to me/us on the funds so retained with you.
3. I/we may be trading in derivatives segment & cash segment of various Exchanges and hence have various accounts with you. In this regard I/we hereby authorize **Torus Financial Markets Pvt Ltd.** to act at its discretion of adjusting any credit balance under my/ our various accounts against the debit in any account across segments/Exchange, without taking any further instruction from me/us.
4. Excess margins deposited towards one exchange / segment may be adjusted, on a running basis towards margin requirement / debit balance in same / other exchange / segment, where I/we have the client account.
5. I/we authorize you to set off a part or whole of the margin deposited by me/us against any of my / our dues, by appropriating relevant amount of fund or by sale of securities which form part of margin.
6. I / we hereby authorize you to deposit my / our funds deposited as margin to exchange / Clearing Corporation.
7. I/we may revoke the authorisation at any time by giving a written notice.
8. I/we also agree that the actual settlement of fund shall be done by us, at least once in a quarter or month, as preference given below and the statement of account for the same will be provided to me by **Torus Financial Markets Pvt Ltd.**
9. statement of account for the same will be provided to me by Torus Financial Markets Pvt Ltd. 9. I/we agree that fund given towards collaterals/margins in form of bank guarantee (BG) / Fixed Deposit Receipts(FDR) may not be periodically settled.
10. I/we agree that (a) in respect of derivatives market transactions, the Trading member may retain funds calculated in the manner specified below:
 - i) Entire pay-in obligation of funds outstanding at the end of day on date of settlement, across all segments.
 - ii) Member may retain 50% of end of the day (EOD) margin requirement as cash margin, excluding the margin on consolidated crystallized obligation/ MTM.
 - iii) Apart from 50% cash margin mentioned in point ii above, member may also retain 225% of EOD margin (which includes additional 125% margin) reduced by 50% cash margin and the value of securities (after applying appropriate haircut) accepted as collateral from the clients by way of 'margin pledge' created in the Depository system for the purpose of margin and value of commodities (after applying appropriate haircut). The margin liability shall include the end of the day margin requirement in all the segments across exchanges excluding the margin on consolidated crystallized obligation/ MTM. The margin liability may also include the margin collected by the Member from their clients as per the risk management policy and informed to the clients.
11. I/we agree/ understand that there shall be no inter-client adjustment for the purpose of settlement of the running account.
12. I/we shall bring any dispute arising from the statement of account or settlement so made to the Notice of the Trading Member preferably **with in 30 working days** from the date of receipt of funds statement as the case may be.

Preference Of Client For Settlement Of Running Account (Funds)		
Settlement Preferences:	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly

Thanking You.
 Yours faithfully

Signature / Thumb Impression of
 Applicant

AUTHORISATION FOR MAINTAINING RUNNING ACCOUNT

I/We am/are registered client with you and dealing in Capital Market/ Derivative Market/ Currency Market/ Commodity Market. I/We am/are aware that payout / dividend received / credited from the exchange(s) against settlement of transaction as per settlement cycle is available within 1 working day of the payout from the Exchange. It is difficult for me/us to make available the required funds on every pay-in of funds obligation / margin obligation, hence We request you to maintain our account on a running basis and retain the payout received / credit balance in our account for my/our future obligation / margin obligation or other liabilities unless we instruct otherwise. I/We hereby further authorize you to debit / credit / transfer the amounts between the various segments either on the same Exchange and / or Exchanges to meet my/our obligation or various dues payable to you / Exchange(s). If payment of funds is required, I/We shall request you in writing or through the web option for funds withdrawal on the web login. Further it may be noted that if required I/We may revoke this authorization at any time after giving request in writing. This running account authorisation would continue until it is revoked by me/us. The actual settlement of funds shall be done at least once in the preference period selected below:

Once in a calendar Quarter

OR

Once in a calendar month

While settling the account having outstanding obligations on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. I/We shall bring any dispute arising from the statement of account or settlement so made to your notice within 7 working days from the date of receipt of funds or statement, as the case may be. In case of non receipt of any such communication the statement / settlement of running account shall be considered as final as agreed and accepted by me/us.

F16

Signature / Thumb Impression of
Applicant

COMMODITY OPTION CONSENT

I/We am/are interested in availing the facility of trading in Options on Commodity Derivatives offered by Torus Financial Markets Pvt Ltd and dealing in Commodity Future through a Trading account. I/We do hereby undertake that I/we am/are competent in all respects to participate to trade in Options on Commodity Derivatives as may be permitted by Torus Financial Markets Pvt Ltd/ Exchange/ SEBI from time to time. I/We hereby confirm having read and clearly understood the contents of the Additional Risk Disclosure documents for Options Trading. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any. I/We hereby also agree and confirm that Torus Financial Markets Pvt Ltd may demand additional exposure margin for any particular option position or from a particular client as per its own discretion. I/We hereby also agree and confirm that Torus Financial Markets Pvt Ltd may liquidate any of my open option position in case of margin shortfall including shortfall arise on account of devolvement margin requirement on devolvement of option positions into futures. This undertaking is in addition to the undertaking given by me/us earlier to Torus Financial Markets Pvt Ltd I/We request Torus Financial Markets Pvt Ltd to provide all necessary facilities to enable me/us for trading in Options on Commodity

F17

Signature / Thumb Impression of
Applicant

CONSENT TO USE AADHAAR CARD COPY FOR NEW REGISTRATION

I understand that I can submit either of the following document copy as an address proof for registration.

a. Voter ID Card

d. Ration Card

e. Aadhaar Card

b. Driving License

c. Passport

f. Utility Bills

I willingly give my consent to TFM to accept copy of my Aadhaar card and proceed with the referred application, further I/we authorise TFM to share the same with exchanges / depositories / regulators if required.

F18

Signature / Thumb Impression of
Applicant

ACKNOWLEDGEMENT CUM DECLARATION

Date:

To,
Torus Financial Markets Pvt Ltd (TFM)
2nd floor, ICC Chambers-II,
Saki Vihar Road, Near MTNL office,
Powai, Mumbai - 400072

I hereby acknowledge that the following documents/ process were made available/ explained to me:

- 1. Rights and Obligations of stock Brokers, Authorized Person and Clients (Securities & Commodities)
2. Rights and Obligations of Beneficial owner and Depository Participant (DP)
3. Rights & Obligations of Clearing Member / Participant and its Client (SLB)
4. Risk Disclosure Document (Securities & Commodities)
5. Additional Risk Disclosure documents for Options Trading (Commodity)
6. Guidance Note - Do's and Don'ts for Trading on the Exchange(s) for Investors
7. Policies and Procedures of Torus Financial Markets Pvt Ltd. pursuant to the SEBI circular dated December 03, 2009
8. General Terms and Conditions governing Securities & Commodities trading and Broking services of Torus Financial Markets Pvt Ltd.
9. Terms & Conditions for Basic Services Demat Account (BSDA), if applicable
10. Process and mechanism for harnessing online conciliation and/ or online arbitration as specified by SEBI from time to time with respect to ODR platform

I understand that the Voluntary documents executed by me are out of my/our free will.

I hereby confirm that I have clearly understood and agree to abide by the Policies and Procedures, Rights and obligations, Terms and Conditions (containing para 1 to 105) and Tariff Structure and be bound by same and by the bye laws as are in force from time to time as described by Torus Financial Markets Pvt Ltd (TFM) and I have accordingly selected the Tariff on tablet/ computer/ mobile phone. I also understand and agree that these Policies and Procedures, Terms and Conditions and Tariff Structure can be changed by TFM from time to time with prior notice of 30 days through email.

I hereby declare that any change in the information submitted by me regarding FATCA/CRS shall be intimated to TFM immediately.

I am aware that the login Id and password of my trading account will be sent on email ID, alerts on mobile number furnished for KYC details through tablet/ computer/mobile phone and any changes thereof.

I confirm that the details of KYC/ Demat/ Trading forms filled through tablet/ computer/ mobile phone/website have been shown to me and verified by me. I have been informed that the forms/ documents / tariff would made available to me through my log-in in my account on TFM website and I undertake that it would be my responsibility to check, scrutinize the said forms and documents within 15 days of account opening and intimate discrepancies if any therein to TFM immediately and in any case within one month of account opening, I have understood the process of submission of details for account opening through tablet/ computer/ mobile phone. I further authorize TFM to use my facsimile signature, e-signature received from UIDIA for account opening and details submitted through above process for execution of my documents for both Trading and Demat account. I am aware that the e-signature will be populated on all the forms/ documents/ declarations required to be executed by me for my Trading and Demat account opening and will have the same validity and will be acceptable by me as if the same have been physically signed and I shall not object to the same.

I hereby declare that the details furnished through the above process are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the said information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for termination and suitable action.

Table with 2 columns and 2 rows: Client PAN, Client Signature

IMPORTANT Welcome kit and Password for your account will be sent on your registered email ID, login ID and alerts on mobile no. as mentioned in KYC form.

For Office Use Only

Form handed to Branch CSE		Sr.No	
---------------------------	--	-------	--

Mapping for Equity, Derivatives, Currency

Entity	Name	Code
Branch		
Sales (Sourcing)		
RM (Service)		
Dealer / ATPC		
Partner		
Lead ID		

Mapping for Commodities

Entity	Name	Code
Branch		
Sales (Sourcing)		
RM (Service)		
Dealer / ATPC		
Partner / Omnesys		
Lead ID		

UCC Code allotted to the Client _____

	Documents Verified with Originals by	Client Interviewed by	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the Employee	Relationship Manager	Relationship Manager	Relationship Manager
Date			
Signature			

Application Type*	<input checked="" type="checkbox"/> NEW <input type="checkbox"/> Update
KYC Number	_____ (Mandatory for KYC update request)
Account Type*	<input checked="" type="checkbox"/> Normal <input type="checkbox"/> Update <input type="checkbox"/> Simplified (for low risk customer) <input type="checkbox"/> Small

Margin Amount: _____	*Application processing charges not applicable. + In case of NRI ₹. 3500/- I / We undertake that we have made the client aware of Policy and Procedures, tariff sheet and all the non-mandatory documents. I/We have also made the client aware of Rights and Obligations document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the Policy and Procedures, tariff sheet and all the nonmandatory documents would be duly intimated to the clients. I/We also undertake that any change in the Rights and Obligations and RDD would be made available on my/our website, if any, for the information of the clients.
Bank Details: _____	
(Bank Name/ Branch/ Cheque No/Date) _____	

CUT HERE

Disclaimer

The Acknowledgement slip given is only to acknowledge receipt of the documents collected by the representative of the Company and in no way to be construed as an acceptance of documents. Kindly quote the application no. printed in the acknowledgment slip for any query related to the status of client application.

Investments in securities market are subject to market risks. Read all the related documents carefully before investing.
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Disclaimer
Registered & Correspondence address: Torus Financial Market Private Limited, 2nd floor, ICC Chambers-II, Saki Vihar Road, Near MTNL office, Powai, Mumbai-400072. Tel: 022 6895 9000, CIN: U65990MH2021PTC356714. SEBI Registration No.:- INZ000299936, Stock Broker: BSE (Member code 6761) & NSE (Member code 90245)- Depository Participant: CDSL – IN-DP-664-2022, Research Analyst: INH000008695, (Corporate). Mutual Funds: AMFI ARN No.241161. Name of the Compliance Officer : Banshi Sanghvi Email Address: TFM.Compliance@torusgroup.in. For any grievance related services please call 02268959000 or write to helpdesk on email id grievance@torusgroup.in. Investment in Securities markets are subject to market risks, read all the related documents carefully before investing. TFMPL is a distributor for MF, PMS, AIF, Private Equity, IPO, Bonds, NCDs, Corporate FDs, Structured Products, Loan & Realty. TFMPL is just acting as distributor. All disputes with respect to the distribution activity, would not have access to the Exchange Investor Redressal Forum or Arbitration mechanism. Mutual fund investments are subject to market risks. Please read the scheme information and other related documents carefully before investing. Past performance is not indicative of future returns. The securities quoted are exemplary and are not recommendatory. Brokerage will not exceed the SEBI prescribed limit. Please consider your specific investment requirements before choosing a fund, or designing a portfolio that suits your needs. TFMPL makes no warranties or representations, express or implied, on products offered through the platform. It accepts no liability for any damages or losses, however caused, in connection with the use of, or on the reliance of its product or related services. Terms and conditions of the website are applicable. Mutual Funds are subject to market risks and is a subject matter of solicitation. It is the users' responsibility to understand the restrictions and risks involved in different mutual fund products/policies. Under no circumstances can/will Torus Financial Market Private Limited take any liability for this. We request users to read and understand the offer and subject documents carefully.

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Signature / Thumb Impression of
Applicant

